



### **INVESTOR EDUCATION AND PROTECTION (FINRA Rule 2267)**

Pursuant to "FINRA Rule 2267: Investor Education and Protection", TPEG Securities LLC would like to remind customers that they may obtain information regarding FINRA BrokerCheck and FINRA itself at the following contact details:

FINRA Website: <https://www.finra.org/>

FINRA BrokerCheck: <https://brokercheck.finra.org/>

FINRA BrokerCheck online informational website: <https://www.finra.org/investors/learn-to-invest/choosing-investment-professional/about-brokercheck>

For further questions regarding FINRA BrokerCheck, please do not hesitate to call the BrokerCheck telephone hotline number at (800) 289-9999.

### **CUSTOMER COMPLAINT DISCLOSURE (Securities Exchange Act Rule 17a-3(a)(18))**

In the event a customer would like to register a complaint regarding TPEG Securities LLC, please contact us at the following address:

TPEG Securities, LLC  
2102 E State Hwy 114, Suite 300  
Southlake, Texas 76092  
Attention: Chief Compliance Officer  
Telephone: 817-310-2900  
Email Address: [Pat@trinityinvestors.com](mailto:Pat@trinityinvestors.com)

### **SIPC DISCLOSURE (FINRA Rule 2266)**

SIPC protects customers of brokerage firms that are closed due to bankruptcy or other financial difficulty. Each customer may be protected up to \$500,000, including up to \$250,000 in cash held in the account. SIPC will cover any missing customer securities when the brokerage fails, whether the securities are missing due to conversion, theft, unauthorized trading or other reasons. SIPC does not protect against ordinary market loss. Not every investment is protected by SIPC and some customers may be ineligible to have their claims satisfied with SIPC funds.

Pursuant to "FINRA Rule 2266: SIPC Information to Customers", TPEG Securities LLC would like to remind customers that they may obtain information about the Securities Investor Protection Corporation ("SIPC"), including the SIPC brochure, by contacting SIPC at the following contact information:

SIPC Website: <https://www.sipc.org/>

SIPC Brochures: <https://www.sipc.org/news-and-media/brochures>

SIPC Mailing Address:

Securities Investor Protection Corporation (SIPC)  
1667 K St. N.W., Suite 1000  
Washington, D.C. 20006-1620  
Telephone: (202) 371-8300  
Fax: (202) 223-1679  
Email: [asksipc@sipc.org](mailto:asksipc@sipc.org)