

Form ADV Part 2B – Brochure Supplement

Trinity Investors Fund Advisors, LLC

DANIEL SHRIGLEY MEADER

2102 E State Hwy 114, Suite 300

Southlake, TX 76092

Phone: 817-310-2900

Website: www.trinityinvestors.com

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JULY 2023

This brochure supplement provides information about Daniel Shrigley Meader that supplements the Trinity Investors Fund Advisors, LLC (CRD#: 314603) brochure. You should have received a copy of that brochure. Please contact our Chief Compliance Officer 817-310-2900, if you did not receive Trinity Investors Fund Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel Shrigley Meader (CRD#: 4789581) also is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Daniel Shrigley Meader born 1962

Education:

Southern Methodist University	Bachelor of Business Administration	1984
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Business Background:

Trinity Investors Fund Advisors, LLC / Manager	2021 to Present
Trinity Investors, LLC f/k/a Trinity Private Equity Group, LLC / Managing Member	2014 to Present
TPEG Securities, LLC / Managing Member	2007 to Present
KSA Partners, LLC / Member	2017 to Present

Professional Designation:

Chartered Financial Analyst	1991
Certified Public Accountant	1998

The Chartered Financial Analyst designation, or CFA charter, is a qualification for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds, and their derivative assets.

To become a Chartered Financial Analyst, an individual must satisfactorily fulfill the following requirements:

- Education – Complete the CFA Program. The curriculum includes Ethical and Professional Standards, Quantitative Methods (such as the time value of money and statistical inference), Economics, Financial Reporting and Analysis, Corporate Finance, Analysis of Investments (stocks, bonds, derivatives, venture capital, real estate, etc.), and Portfolio Management and Analysis (asset allocation, portfolio risk, performance measurement, etc.).
- Examinations – Pass the comprehensive exams required by the CFA Program, which is organized into three levels, each culminating in a six-hour exam. Completing the program takes most candidates between two and five years.
- Experience – Possess a bachelor's degree from an accredited institution (or have equivalent education or work experience) and have 48 months of qualified, professional work experience.
- Ethics – Obligated to adhere to a strict Code of Ethics and Standards governing professional conduct.

Certified Public Accountants (CPAs) are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination.

- To maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year. The vast majority of state boards of accountancy have adopted the American Institute of Certified Public Accountants (AICPA) *Code of Professional Conduct* within their state accountancy laws.

ITEM 3: DISCIPLINARY INFORMATION

Mr. Meader has nothing to disclose regarding any legal or disciplinary events material to a client's evaluation of his integrity.

ITEM 4: OTHER BUSINESS ACTIVITIES

Mr. Meader has no outside business activities except as otherwise described above in *Item 2 – Educational Background and Business Experience* and in *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC's brochure.

As part of Mr. Meader's duties for the Funds advised by the firm, he serves on the board of directors for the portfolio companies owned by the Funds. This creates a conflict of interest when the interests of the portfolio companies and the Funds do not align. These potential conflicts of interest are described in *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC's brochure.

Mr. Meader is also an owner, manager, or president to a variety of investment-related entities, each of these entities require approximately 0-7 hours of his time monthly. A listing of his outside business activities can be found on FINRA's website, <https://brokercheck.finra.org>. These outside business activities create a conflict of interest because Mr. Meader's time and attention are not focused on the activities of the Advisor. Additional conflicts of interest are described in *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC's brochure.

ITEM 5: ADDITIONAL COMPENSATION

Except as otherwise described above in *Item 2 – Educational Background and Business Experience* and in *Item 5 – Fees and Compensation*, *Item 6 – Performance-Based Compensation and Side-By-Side Management*, and *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC's brochure, Mr. Meader does not expect to receive any economic benefit from any non-advisory client for providing investment advice or other advisory services to our clients.

ITEM 6: SUPERVISION

Mr. Meader is supervised by Sanjay Chandra, the firm's other manager, together with guidance from the firm's Compliance Manager utilizing the firm's policies and procedures manual. You can reach Mr. Chandra at 817-310-2900.

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Trinity Investors Fund Advisors, LLC

SANJAY CHANDRA

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JULY 2023

This brochure supplement provides information about Sanjay Chandra that supplements the Trinity Investors Fund Advisors, LLC (CRD#: 314603) brochure. You should have received a copy of that brochure. Please contact our Chief Compliance Officer 817-310-2900, if you did not receive Trinity Investors Fund Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Sanjay Chandra (CRD#: 5512809) also is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Sanjay Chandra born 1965

Education:

University of Texas at Austin	Bachelor of Science – Electrical Engineering/ Computer Engineering	1987
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Business Background:

Trinity Investors Fund Advisors, LLC / Manager	2021 to Present
Trinity Investors, LLC f/k/a Trinity Private Equity Group, LLC / Managing Member	2014 to Present
TPEG Securities, LLC / Managing Member	2007 to Present

Professional Designation:

Chartered Financial Analyst	2003
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The Chartered Financial Analyst designation, or CFA charter, is a qualification for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds, and their derivative assets.

To become a Chartered Financial Analyst, an individual must satisfactorily fulfill the following requirements:

- Education – Complete the CFA Program. The curriculum includes Ethical and Professional Standards, Quantitative Methods (such as the time value of money and statistical inference), Economics, Financial Reporting and Analysis, Corporate Finance, Analysis of Investments (stocks, bonds, derivatives, venture capital, real estate, etc.), and Portfolio Management and Analysis (asset allocation, portfolio risk, performance measurement, etc.).
- Examinations – Pass the comprehensive exams required by the CFA Program, which is organized into three levels, each culminating in a six-hour exam. Completing the program takes most candidates between two and five years.
- Experience – Possess a bachelor's degree from an accredited institution (or have equivalent education or work experience) and have 48 months of qualified, professional work experience.
- Ethics – Obligated to adhere to a strict Code of Ethics and Standards governing professional conduct.

ITEM 3: DISCIPLINARY INFORMATION

Mr. Chandra, in his capacity, as a registered representative, with TPEG Securities, LLC (“TPEG”), and one of the firm’s management persons was the subject of a customer dispute that alleged misstatements by the representative regarding a 2016 oil and gas investment where the representative was an officer of the oil and gas company but not the representative for the customer. The customer did not identify any misstatements attributable to the representative. The representative denied all allegations. The representative’s broker-dealer believed the allegations to be false but made the business decision to settle for \$225,000.

ITEM 4: OTHER BUSINESS ACTIVITIES

In addition to the outside business activities described above in *Item 2 – Educational Background and Business Experience* and in *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC’s brochure, Mr. Chandra is the managing member of BlueAlpha Capital, LLC, an entity that manages Mr. Chandra’s family’s investment assets and requires a substantial amount of Mr. Chandra’s time.

As part of Mr. Chandra's duties for the Funds advised by the firm, he serves on the board of directors for the portfolio companies owned by the Funds. This creates a conflict of interest when the interests of the portfolio companies and the Funds do not align. These potential conflicts of interest are described in *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC's brochure.

Mr. Chandra is also an owner, manager, or senior advisor to a variety of investment-related entities, each of these entities require approximately 0-4 hours of his time monthly. Additionally, he has a variety of non-investment outside business activities, each of these entities require approximately 4-8 hours of his time monthly. A listing of his outside business activities can be found on FINRA's website, <https://brokercheck.finra.org>. These outside business activities create a conflict of interest because Mr. Chandra's time and attention are not focused on the activities of the Advisor. Additional conflicts of interest are described in *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC's brochure.

ITEM 5: ADDITIONAL COMPENSATION

Except as otherwise described above in *Item 2 – Educational Background and Business Experience* and in *Item 5 – Fees and Compensation*, *Item 6 – Performance-Based Compensation and Side-By-Side Management*, and *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC's brochure, Mr. Chandra does not expect to receive any economic benefit from any non-advisory client for providing investment advice or other advisory services to our clients.

ITEM 6: SUPERVISION

Mr. Chandra is supervised by Daniel Shrigley Meader, the firm's other manager, together with guidance from the firm's Compliance Manager utilizing the firm's policies and procedures manual. You can reach Mr. Meader at 817-310-2900.

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Trinity Investors Fund Advisors, LLC

JAMES NEILL FUGUAY, IV

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This brochure supplement provides information about James Neill Fuguay, IV that supplements the Trinity Investors Fund Advisors, LLC (CRD#: 314603) brochure. You should have received a copy of that brochure. Please contact our Chief Compliance Officer 817-310-2900, if you did not receive Trinity Investors Fund Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about James Neill Fuguay, IV (CRD#: 6385646) also is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

James Neill Fuguay, IV born 1983

Education:

Southern Methodist University	Masters of Business Administration	2013
University of Texas at Austin	Bachelors of Business Administration	2005

Business Background:

Trinity Investors Fund Advisors, LLC / Manager	2021 to Present
Trinity Investors, LLC f/k/a Trinity Private Equity Group, LLC / Accountant	2014 to Present
TPEG Securities, LLC / Executive Vice President	2013 to Present

Professional Designation:

Chartered Financial Analyst	2016
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The Chartered Financial Analyst designation, or CFA charter, is a qualification for finance and investment professionals, particularly in the fields of investment management and financial analysis of stocks, bonds, and their derivative assets.

To become a Chartered Financial Analyst, an individual must satisfactorily fulfill the following requirements:

- Education – Complete the CFA Program. The curriculum includes Ethical and Professional Standards, Quantitative Methods (such as the time value of money and statistical inference), Economics, Financial Reporting and Analysis, Corporate Finance, Analysis of Investments (stocks, bonds, derivatives, venture capital, real estate, etc.), and Portfolio Management and Analysis (asset allocation, portfolio risk, performance measurement, etc.).
- Examinations – Pass the comprehensive exams required by the CFA Program, which is organized into three levels, each culminating in a six-hour exam. Completing the program takes most candidates between two and five years.
- Experience – Possess a bachelor's degree from an accredited institution (or have equivalent education or work experience) and have 48 months of qualified, professional work experience.
- Ethics – Obligated to adhere to a strict Code of Ethics and Standards governing professional conduct.

ITEM 3: DISCIPLINARY INFORMATION

Mr. Fuguay has nothing to disclose regarding any legal or disciplinary events material to a client's evaluation of his integrity.

ITEM 4: OTHER BUSINESS ACTIVITIES

In addition to the outside business activities described above in *Item 2 – Educational Background and Business Experience* and in *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC's brochure, Mr. Fuguay serves on the board of directors for a portfolio company owned by one of the Funds. This creates a conflict of interest when the interests of the portfolio companies and the Funds do not align. These potential conflicts of interest are described in *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC's brochure.

Mr. Fuguay is also a board member for Gold Landscape, a non-investment-related activity which requires about 8 hours of his time per month. These outside business activities create a conflict of interest when Mr. Fuguay's time and attention are not focused on the activities of the Advisor. Additional conflicts of interest are described in *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC's brochure.

ITEM 5: ADDITIONAL COMPENSATION

Except as otherwise described above in *Item 2 – Educational Background and Business Experience* and in *Item 5 – Fees and Compensation*, *Item 6 – Performance-Based Compensation and Side-By-Side Management*, and *Item 10 – Other Financial Industry Activities and Affiliations* of the Trinity Investors Fund Advisors, LLC's brochure, Mr. Fuguay does not expect to receive any economic benefit from any non-advisory client for providing investment advice or other advisory services to our clients.

ITEM 6: SUPERVISION

Mr. Fuguay is supervised by Daniel Shrigley Meader, the firm's other manager, together with guidance from the firm's Compliance Manager utilizing the firm's policies and procedures manual. You can reach Mr. Meader at 817-310-2900.