



**Trinity Investors, LLC – Privacy Notice**  
**July 18, 2023**

<b>FACTS:</b>	What does Trinity Investors, LLC (“Trinity”) do with your personal information?
<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social security number and income</li> <li>• Assets, account balances, and transaction history</li> <li>• Investment experience and risk tolerance</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
<b>How?</b>	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information, the reasons Trinity chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does Trinity share?	Can you limit this sharing?
<b>For our everyday business purposes</b> —such as to process your transactions, maintain your account(s), respond to court orders and requests from regulatory agencies (SEC, FINRA, and State Securities Boards).	YES	NO
<b>For our marketing purposes</b> —to offer our products and services to you	YES	NO
<b>For joint marketing with other financial companies</b>	NO	WE DON’T SHARE
<b>For our affiliates’ everyday business purposes</b> —information about your transactions and experiences	YES	NO
<b>For our affiliates’ everyday business purposes</b> —information about your creditworthiness	NO	WE DON’T SHARE
<b>For our affiliates to market to you</b>	YES	YES
For nonaffiliates to market to you	NO	WE DON’T SHARE
<b>To limit our sharing</b>	<p>Call us at (817) 310-2900 or email us at <a href="mailto:clientrelations@trinityinvestors.com">clientrelations@trinityinvestors.com</a> and ask for our Opt-Out Request Form.</p> <p>Please note: if you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer a customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit this sharing.</p>	
<b>Questions?</b>	Contact Client Relations at <a href="mailto:clientrelations@trinityinvestors.com">clientrelations@trinityinvestors.com</a>	

<b>Who we are</b>	
Who is providing this notice?	Trinity Investors, LLC (referred to as “Trinity”)
<b>What we do</b>	
How does Trinity protect my information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Trinity collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>• Open an account and enter an investment advisory contract;</li> <li>• Give us your income, employment, and contact information;</li> <li>• Make a wire transfer; or</li> <li>• Seek advice about your investments</li> </ul> <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>• Sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>• Affiliates from using your information to market to you</li> <li>• Sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>

<b>Definitions</b>	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies including, but not limited to:</p> <ul style="list-style-type: none"> <li>• Trinity Investors, LLC f/k/a Trinity Private Equity Group, LLC;</li> <li>• TPEG Securities, LLC;</li> <li>• Trinity Investors Fund Advistors, LLC;</li> <li>• KSA Partners, LLC; and</li> <li>• All Trinity-controlled investment special purpose entities (SPE)</li> </ul>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>• Trinity does not share information with nonaffiliates except for everyday business purposes as defined above</li> </ul>
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>• Trinity does not jointly market.</li> </ul>